#### Title: Rates and patterns of clonal oncogenic mutations in the normal human brain

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#### 33

#### 34 Abstract

While oncogenic mutations have been found in non-diseased, proliferative non-neural 35 tissues, their prevalence in the human brain is unknown. Targeted sequencing of genes implicated 36 in brain tumors in 418 samples derived from 110 individuals of varying ages, without tumor 37 diagnoses, detected oncogenic somatic single-nucleotide variants (sSNVs) in 5.4% of the brains, 38 including *IDH1* R132H. These mutations were largely present in subcortical white matter and 39 enriched in glial cells, and surprisingly, were less common in older individuals. A depletion of 40 high-allele frequency sSNVs representing macroscopic clones with age was replicated by analysis 41 of bulk RNAseq data from 1,816 non-diseased brain samples ranging from fetal to old age. We 42 43 also describe large clonal copy number variants, and that sSNVs show mutational signatures resembling those found in gliomas, suggesting that mutational processes of the normal brain drive 44 early glial oncogenesis. This study helps understand the origin and early evolution of brain 45 tumors. 46

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#### 48 **Statement of Significance:**

In the non-diseased brain, clonal oncogenic mutations are enriched in white matter and are less common in older individuals. We revealed early steps in acquiring oncogenic variants, which are essential to understanding brain tumor origins and building new mutational baselines for diagnostics.

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#### 54 Introduction

Tumors result from the clonal expansion of cells due to the presence of somatic driver 55 gene mutations in stem cells (1). Even in normal individuals, self-renewing tissues such as skin, 56 blood, esophagus, endometrium, bladder, colon, and liver harbor cancer-associated somatic 57 mutations, which increase with age (2-11). Recent work examining post-mortem brains from a 58 small cohort of 14 non-diseased-aged individuals found mutations in cancer-associated genes, 59 though none of the identified mutant alleles have a known role in oncogenesis (12). 60 Consequently, the prevalence of oncogenic driver mutations in the non-diseased human brain 61 remains largely unknown. 62

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Primary gliomas and other brain tumors are considered to occur mostly within the white 64 matter (WM) (13,14). WM is highly enriched in glial cells (approximately 75% oligodendrocyte-65 lineage cells, 20% astrocytes, and 5% microglia) (15), while grey matter (GM) represents a 66 combination of neurons and glial cells (15). One factor impeding assessing the contribution of 67 clonal oncogenic mutations in the brain is the abundance of non-proliferating neurons 68 concentrated in the GM (15). In contrast, brain-derived glial cells, including oligodendrocyte 69 70 precursor cells (OPC) (16) and astrocytes to a lower extent (17), retain the ability to proliferate in the postnatal brain. Proliferation is a significant source of somatic mutations (18,19), thus, prior 71 conventional bulk analyses with mixtures of neurons and glial cells may have low sensitivity to 72 discover oncogenic variants if they are present in glial cells which represent just a fraction of the 73 total cells (15). 74

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To overcome this challenge, we performed targeted deep-sequencing of white matter 76 (WM) areas to identify clonal somatic oncogenic variants and compared these to adjacent grey 77 matter from the same brain region (Fig. 1, Fig. S1). We also investigated 1,816 non-diseased 78 brain RNA-seq datasets obtained from two independent cohorts, Genotype-Tissue Expression 79 80 (GTEx) (20) and BrainVar (21), representing different brain regions and ages, with methods that allow identification of clonal somatic variants. With our approach, we observe that the normal 81 human brain harbors sSNVs and large somatic copy number variants (sCNVs) with oncogenic 82 potential, suggesting glial susceptibility to acquire or further expand existing variants. In contrast 83 with other tissues (3-8), the burden of clonal mutations representing macroscopic clones 84 (VAF>7%) (22) in the brain does not detectably increase with age, so that the mutations are less 85 common in older individuals. The patterns of nucleotide substitution for these sSNVs resemble 86 those previously reported in brain tumors, suggesting that the mutational processes that give rise 87 to brain tumors pre-exist in normal tissue. 88

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#### 90 **Results**

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#### 92 Experimental scheme

We analyzed a total of 418 samples derived from 110 individuals spanning different ages 93 (0-108 years) (Table S1A), with no history of neuro-oncological or other neurological diagnoses. 94 We designed molecular inversion probes (MIPs) (23) that target all exons and adjacent intronic 95 sequence (to capture splice site mutations) of 121 genes directly associated with brain tumors and 96 other cancer types (Fig. 1, Table S1B,C). Our panel represented multiple pathways implicated in 97 disease and different classes of proto-oncogenes and tumor suppressor genes. First, to evaluate the 98 presence and accumulation of oncogenic variants during aging in the normal brain, for each of the 99 110 subjects, we analyzed data from at least two different brain regions and one non-brain sample 100 (Table S1A). We primarily focused on the brain's frontal lobe since it is the most prevalent 101 location for malignant tumor emergence, followed by temporal, parietal, and occipital (24). Brain 102 samples consisted of hippocampus (HC), cerebellum (CER), and prefrontal cortex that was 103 subdivided into grey (CXG) and white matter (CXW) (Fig. S1A). When no clear anatomical 104 distinction between CXW and CXG was possible due to tissue size or frosting, the sample was 105 labeled CX. Second, to test whether sampling more brain locations from one individual would 106 increase our sensitivity for oncogenic variants, we also evaluated 91 samples derived from 17 107 different organs and the entire left hemisphere from one 17-year-old individual (UMB1465) (Fig. 108 1, Table S1A). 109

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## 111 Identification of variants from deep-targeted sequencing

Somatic mutations associated with cancer can be either driver mutations, promoting clonal expansions in some cases, or passenger mutations with a less clear effect. To investigate the potential clonal variant accumulation in cancer genes within the non-diseased brain, we focused on low-allele-frequency variants with oncogenic potential. We define oncogenic variants as 1) previously reported pathogenic variants in cancer, or 2) predicted to be damaging by *in silico* analysis in a known cancer-driver gene (25). We generated deep-targeted sequencing data (average coverage of 590x per sample across targeted regions) (Fig. S1B) and used the CLCbio

- 119 Low Frequency Variant Detection algorithm (QIAGEN) to call somatic variants with a
- 120 probabilistic error model to account for sequencing errors (see methods). No significant
- 121 difference in sequencing coverage across ages or tissue types was observed (Fig. S1C,D).
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We obtained a total of 51,036 raw calls (Table S1D) and conservatively filtered out variants to obtain high-confidence mutations (see methods), focusing only on variants with 0.5 –

- 125 15% allele frequency (VAF) due to germline contamination at higher VAFs (Fig. S1E).
- 126 Experimental sensitivity analyses using 165 spike-in somatic mutations (108 heterozygous and 57
- 127 homozygous SNPs) showed that this computational approach and filtration was optimized for low
- false-positive rates and achieved specificities of >99% with sensitivities comparable to other
- studies (12) at different mosaic fractions (Fig. S1F). 35 variants (average depth of 1086x, median
- VAF of 1.86%) passed our filtering criteria, and of those, 28 were unique, while 7 were seen in
- different samples within the same individual (Table S1E). One of these was discovered to be a
   germline event during validation. We used ultra-deep Ion Torrent sequencing (MIPP-Seq) (26) to
- validate 19 candidate mosaic variants, including all the 13 variants predicted to affect protein
- 134 structure and 6 random synonymous, intronic, and promoter variants. With an average 92,757x
- per site, we achieved a validation rate of 89% with a high correlation of the VAFs between
- discovery and validation sequencing ( $r^2=0.93$ , Pearson coefficient, Fig. 2A, Table S1F).
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### 138 Presence of brain tumor associated oncogenic variants in normal brain

Among the validated variants, 12 occurred in cancer driver genes. These variants were 139 predicted damaging and pathogenic by multiple algorithms (see methods) using similar criteria to 140 a recent study (27). Nine of these 12 variants were found in the brain, and 3 in non-brain tissue. 141 The brain-specific variants were all exonic and had a median VAF of 1.2% (Fig. S1G). These 142 variants distributed similarly between proto-oncogenes and tumor suppressor genes (25,28), and 143 had the highest score in our predicted pathogenicity scale (NSYND3, see methods) (27) (Fig. 2B). 144 Importantly, the variants we detected did not occur in genes most frequently mutated in clonal 145 hematopoiesis that were included in our panel, indicating that these variants are not likely derived 146 from blood contaminants, as observed in a recent study (12). All of our identified genes were 147 among the most frequently mutated genes in lower-grade gliomas (LGG) and glioblastoma 148 (GBM), but not medulloblastoma (25) (Fig. 2C-D). Of the 9 brain-specific variants, 6 were 149 previously reported pathogenic mutations in cancer (COSMIC, Clinvar, HGMD, and ICGC) (Fig. 150 2B). Among these variants, IDH1, PTPN11, NF1, and PTEN gene variants are of particular 151 interest due to their high prevalence and established pathogenic effects in brain tumors. 152

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For each subject, we evaluated germline variants with predicted deleterious impact to 154 assess possible interactions with somatic mutations or double-hit events (somatic + germline). We 155 identified 240 germline variants (median VAF 50.3±3.3%) predicted to be damaging, and of 156 those, 51 were unique over 41 individuals (see methods, Table S1G). We did not detect biallelic 157 double-hit events in our cohort, though our method would be unable to detect mosaic LOH or 158 deletions (29), and none of these variants had been previously related to disease, as expected from 159 a non-diseased cohort (Fig. 2B, Table S1G). We found no enrichment in predicted damaging 160 germline variants (Fisher exact test p=0.621) among the individuals with somatic oncogenic 161 mutations. 162

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## 164 **Oncogenic brain variants are not detectably more common in older individuals.**

We detected 7 previously reported oncogenic variants over 6 different brains out of 110 165 total; therefore, 5.4% of the evaluated brains carried a reported pathogenic variant in a cancer-166 driver gene. We did not find evidence that older (>30 years) individual brains were enriched with 167 known oncogenic variants in cancer genes (Fig. 2E) at the level of mosaicism detectable with this 168 approach, which contrasts with many other tissues that show accumulation of variants with age 169 (3-8). On the contrary, we observed a depletion of oncogenic variants in the CXW samples of 170 individuals older than 30 y/o without cancer diagnosis (6/52 vs. 0/42, Fisher exact test, p=0.025; 171 and 5/43 vs 0/42 maintaining only one sample of the oversampled individual, p=0.029). This 172 observation was also true using 19 predicted pathogenic and non-pathogenic brain variants 173 (13/114 vs. 6/143, Fisher exact test p=0.025) from our filtered call set (89% validation rate). We 174 interpret these observations as suggestive of lack of age-related increase in oncogenic variants in 175 the normal brain. Importantly, this behavior was further confirmed in two orthogonal datasets 176 presented in the following sections. 177

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#### 179 **Oncogenic brain variants are more prevalent in the white matter.**

Oncogenic brain variants exhibited increased occurrence in WM (6 mutations in 94 CXW 180 samples tested), compared with no variants in adjacent gray matter samples (92 CXG samples) 181 (Fisher exact test p=0.028) (Fig. 2F). There were no significant differences in sequencing 182 coverage across tissue types that might explain this difference (Fig. S1D). CX samples, which we 183 could not distinguish as WM or GM, also had 0 variants (53 samples). HC samples came second 184 after CXW, with 2 detected variants over 69 samples tested (ratio 0.03, Fisher exact test CXW vs. 185 HC p=0.4, CXG vs. HC p=0.182). For CER, we observed 1 mutation (1/10, ratio 0.1), but given 186 the small sample size, we cannot derive conclusions on this region. 187

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### 189 **IDH1 R132H mutation is enriched in glial cells**.

The most prevalent somatic mutation in glioma is *IDH1* R132H, present in more than 70% 190 of World Health Organization (WHO) grade II and III astrocytomas and oligodendrogliomas (30). 191 We observed three mutations in IDH1 in two different individuals. The first individual 192 (UMB1465, 17y/o) had two *IDH1* reported mutations, R132H and R100Q, detected in cortical 193 white matter from distinct distant regions of the brain, corresponding to PFC and primary motor 194 area, respectively (Fig. 3A). IDH1 R100Q has been infrequently reported in gliomas, and its 195 contribution to oncogenesis is still being determined (31,32). The second individual (UMB 5621, 196 37y/o) bore the *IDH1* R132H mutation in the HC at a VAF of 0.8%. Interestingly, the R132H 197 mutation observed in the PFC of UMB1465 was called in two adjacent WM samples of the same 198 brain section with different VAFs (0.9 and 5.0%, Fig. 2B). For this individual, we sequenced >50 199 additional brain samples derived from the entire left hemisphere and most organs (Fig. 1 Table 200 S1), and we did not detect *IDH1* R132H in any of these with at least 0.1% VAF at >3500x 201 coverage (Fig. 3B). The difference in VAFs between the two adjacent R132H-bearing samples 202 (5% vs. 0.9%) may reflect the distance from the center of the mutant clone (Fig. 3B). Mutations 203 with a 5% VAF are often shared between tissues or within an organ (33), and therefore one would 204 205 not expect such relatively high VAF variants to be restricted to one organ sub-region. Since heterozygous *IDH1* mutations confer a proliferative advantage in human astrocytes and remodel 206

chromatin into a neural progenitor-like state (34,35), the sharply different VAFs of this mutation are most consistent with a clonal event with enhanced proliferation, present in a  $\approx 5 \text{ mm}^3$  sample within the white matter. This mutation's high VAF and focal nature suggest that it was acquired very late in development or postnatally, then further amplified by glial proliferation. Similar mechanisms can be proposed for the *PTPN11*, *PTEN*, and *NF1* mutations we found (36-38).

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To investigate the lineage of origin of the *IDH1* R132H mutation, we evaluated the 213 presence of this mutation in neuronal and non-neuronal nuclei (see methods). NEUN-positive 214 nuclei were analyzed using single nucleus RNA sequencing (scRNA-seq) to confirm the identity 215 of the sorted cells, demonstrating that this population was all neuronal nuclei, broadly sub-216 classified into excitatory and inhibitory neurons, without any further contamination (Fig. 3C). As 217 expected, the NEUN-negative fraction lacked neurons, and represented a mixture of glial cells, 218 including OPCs, astrocytes, oligodendrocytes, and a small fraction of microglial cells (Fig. 3C). 219 NEUN-positive and NEUN-negative populations showed gene expression profiles consistent with 220 neurons and glia (oligodendrocyte, astrocyte, and OPCs), respectively (Fig. 3D). The remaining 221 sample containing the IDH1 R132H mutation (individual UMB1465) was subjected to nuclear 222 sorting and genotyping using digital droplet PCR (ddPCR) targeting the R132H mutation. Our 223 results demonstrate that the IDH1 R132H mutation was enriched in the NEUN-negative 224 population (Fig. 3E), consistent with our initial observation of its presence only in WM, and 225 suggesting a glial localization of this mutation. Interestingly, the R132H mutation appears to be 226 detectable also at very low levels in the NEUN-positive population as well, though we cannot rule 227 228 out low-level contamination in generating this signal or an early event in neural precursors. The shared presence of the R132H mutation in neurons and glia would suggest a congenital origin of 229 this mutation since cortical neurons are virtually all generated prenatally. 230

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#### 232 Clonal brain variants at high allele fraction do not increase with age

To confirm the existence of oncogenic variants, we evaluated two independent cohorts of 233 non-diseased human brains, including 1,640 and 166 bulk RNA-seq samples obtained from the 234 GTEx project (20-79y)(20) and BrainVar database (fetal-19y)(21), respectively, also representing 235 different brain regions (Fig. S2A,B). RNA-MuTect (22) was used to identify somatic variants 236 (Fig. S2C) and suspected RNA editing bases were removed (A>G, T>C). RNA-MuTect 237 sensitivity was tested in normal samples with coextracted DNA and RNA data, and was able to 238 detect DNA mutations with allele fractions of >7% in the RNA, in cases where the gene was 239 sufficiently highly expressed (22), and they define these as macroscopic clones. In the GTEx 240 cohort, we found a total of 590 variants, including 325 missense, of which 62 variants (19%) 241 overlap with the exact amino acid change reported in COSMIC (CMC v92), and 27 others are 242 disruptive (splice site or nonsense, 5 with COSMIC overlap, 19%). In BrainVar, we found a total 243 of 746 variants, including 493 missense (56 with COSMIC overlap, 11%) and 70 disruptive (5 244 with COSMIC overlap, 7%) (Table S2A-D). Within variants with COSMIC overlap, we identified 245 reported variants in cancer driver genes (VAF  $\leq 10\%$ ) associated with brain tumors, such as 246 DDX3X and MAX, with DDX3X being mutated in 8% of medulloblastomas(25). We also detected 247 predicted pathogenic variants (with a high score of pathogenicity NSYND3 and LOF) in other 248 brain tumor driver genes highly represented in LGGs (FGFR1, PDGFRA, MTOR), but these exact 249 base substitutions were not previously reported. Interestingly, we observed several variants in 250

251 *PDGFRA* in both GTEx and BrainVar (VAF  $\leq 10\%$ ) datasets suggesting that this gene, which is of 252 importance in all gliomas, is frequently mutated (25).

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We used the BrainVar (n=166, fetal-19y) and GTEx (n=1640, 20-79y) mutation calls to 254 255 further investigate our initial observation about the lack of age-related accumulation of detectable clonal variants in the brain with a less biased approach, including all expressed genes rather than 256 only those previously implicated in brain tumors. By integrating both datasets and modeling the 257 mutation counts per sample using mixed effect negative binomial regression, while adjusting for 258 standardized RNA integrity score, standardized ischemic time, and standardized total mapped 259 reads, we observed depletion of all (mean ratio=0.241, 0.149-0.391 95% CI, p=3.5e-09), 260 predicted pathogenic (mean ratio=0.28, 0.155-0.506 95% CI, p=1.7e-05), and disruptive variants 261 (mean ratio=0.366, 0.2-0.66 95% CI, p=0.001) with age (Fig. 4A-C, Fig. S2D-F, Fig. S3), a 262 discovery consistent with our panel findings. The negative association with age was also 263 significant by analyzing all mutations from each dataset independently (BrainVar p=0.00029, 264 GTEx p=0.043), and also in GTEx for pathogenic and disruptive mutations (Fig. S3A-E). For 265 BrainVar, the regression model was not able to converge due to the low number of pathogenic 266 and disruptive mutations. As a control, we did not observe a similar significant depletion for the 267 T>C variants, removed as potential RNA editing events, in the combined dataset (mean 268 ratio=0.765, p=0.282) and also in each cohort independently (Fig. S3F,G). Furthermore, analysis 269 of all variants from both cohorts after only filtering known RNA editing sites in databases, also 270 showed a significant decrease with age (mean ratio=0.411, p=5.1e-06), demonstrating that T>C 271 272 removal does not affect our observed aging trend (Fig. S3H). The effect with age may vary for different brain sub-regions. Among 13 evaluated regions, cortex showed a nominal depletion of 273 disruptive and pathogenic variants with age (p=0.035 and p=0.032, respectively), while 274 cerebellum also showed significant depletion for pathogenic variants p=0.01 (and nominal for 275 disruptive p=0.07) (Fig S4A). Since we observed a general negative association when combining 276 GTEx and BrainVar, and none of the evaluated brain regions showed a significant increase with 277 age, we conclude that there is no age-related increase of clonal somatic mutations that reach the 278 level of detection of this method in normal brain. 279

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To learn more about how different brain region compare to each other in their mutational 281 burden, we used the negative binomial model to rank regions based on comparing region-specific 282 mutation incidence rate to the overall mutation incidence rate (Fig. S4B). When assessing all 283 variants, only caudate (basal ganglia) had nominally fewer mutations than average (p=0.039), 284 while cerebellum exhibited a very significant increase in the relative mutation count (p=4.2e-08) 285 (Fig. S4B). The same pattern was true when assessing pathogenic and non-pathogenic variants, 286 with the exception that in the cortex, pathogenic mutations also showed a nominal increase in the 287 relative mutation burden compared to the overall brain mean (p=0.024) with hypothalamus 288 showing a decrease in overall mutation count (p=0.034) (Fig. S4B). 289

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Interestingly, we found one outlier sample (6-year-old female) in the BrainVar dataset with a high mutation load (139 mutations called) including 23 mutations overlapping reported COSMIC events, suggesting a potential pre-neoplastic clonal expansion. The only drivers detected were *GPC5* and *FAT3*, though neither of these have been directly associated with brain tumors. However, these types of mutations might increase proliferative fitness leading to
 subsequent mutation accumulation as reflected in the high mutational load of this sample.

#### 298 Somatic copy number alterations in non-diseased brain

Since somatic copy number alterations (sCNVs) are the most frequent and important 299 driver events in the oncogenesis of multiple brain tumors, we next assessed the prevalence of 300 sCNVs in 1,636 brain samples across 253 subjects from the GTEx (v7) consortium. We used a 301 recently developed algorithm called superFreq(39) that leverages allele frequency information 302 across germline heterozygous sites and read depth to identify sCNVs from RNA-seq data. While 303 this algorithm was designed for cancer samples, it can provide lower-bound estimates of the 304 sCNV landscape in normal tissues. The initial raw call-set consisted of 1,242 variants across 213 305 samples (Table S2E). Due to the noisy nature of RNA-seq data, we implemented a stringent 306 filtering strategy (see methods). Briefly, we removed variants that overlapped fewer than 100 307 genes, so that the precision for those events is expected to be 80-90% (39), and we filtered 308 variants whose log-fold-change (LFC) and clonality were too noisy to be reliably estimated via 309 visual inspection. The final call-set was 37 sCNV across 20 subjects, consisting of 15 gains, 13 310 copy-number neutral loss of heterozygosity (CN-LOH), and 9 losses (Fig. 5A). The mosaic 311 fraction of these events ranged from 13.4% to 48.0%, with a median of 29.8%. From this sample 312 size we estimated the percentage of normal adult individuals to have at least 1 sCNV in a brain 313 sample to be 7.9% (95% CI: 4.90-11.94) (Fig. 5B). No sCNV rate differences were detected 314 between brain regions and no evidence of age-related change was observed (Fig. 5A). We also 315 analyzed 147 subjects from BrainVar and obtained 262 initial calls, of which 7 remained after 316 filtering (2 losses, 1 gain and 4 CN-LOH), across 5 prenatal subjects (Fig. 5A, Table S2E), 317 suggesting that 4.8% (95% CI: 1.11-7.78) of young brain samples have at least 1 sCNV detectable 318 with this approach. 319

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Some of the sCNV overlap reported events in glioma and other brain tumors. Among the 321 copy number losses in both datasets (n=11), we observed four events in chromosome (Chr) 22q, 322 four in Chr19, two in Chr. 16, and one in Chr. 2q (Fig.5A). LOH22q has been reported in brain 323 324 tumors including astrocytoma (9-30%), GBM (24%) and meningioma (65%) (40). In two out of the four 22q events, we detected loss of NF2 and SMARCB1 (Fig. 5C and Fig. S5), which are 325 highly involved in meningioma (40) and atypical teratoid rhabdoid tumors(41). Events in Chr 19 326 were characterized by one 19q-arm loss and two 19p-arm losses. 19q LOH and loss events have 327 been frequently reported in olidendrogliomas (100%), astrocytomas (30-40%), and GBM (30%) 328 (42). We observed CN-LOH events overlapping CDKN2A and SMARCA4, two important genes 329 in brain tumors(40), but the effect of these are less clear. Among copy number gains (n=16), we 330 detected five events in Chr. 6q, all of them gaining half of the q-arm, which includes relevant 331 332 genes such as MYB, involved in pediatric gliomas (43). Chr. 1 had four partial gains of the q-arm, and 1q gains were reported in high-grade gliomas (44). We also detected partial and whole gains 333 in Chr. 12p, 13q, 15q, 17q and 18. 334

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#### 336 Clonal variants in normal brain share mutational mechanisms seen in brain tumors

To understand specific mutagenic processes underlying the accumulation of clonal point mutations in the brain compared to other tissues, we performed mutational signature analyses

using clonal sSNVs obtained from a recent study using the GTEx database (22). Using this 339 dataset allowed us to compare brain spectra with other organs using variants called with a 340 consistent pipeline across tissues. We performed our analyses using various VAF cut-offs of 5%-341 40% and obtained consistent results throughout this range (Fig. 6, Fig. S6A). We focused on 342 sSNVs with a VAF of less than 15% since they are most likely to reflect the sSNVs we targeted in 343 our panel data. We found that the estimated mutational signatures from normal brains were 344 similar to those from brain tumors. Normal brain sSNVs statistically decomposed into several 345 signatures (SBS 39, 5, 23, 1, 30, and 2), each reported in COSMIC as present in brain tumors 346 (Fig. 6) and consistent with previous findings (45). Our analysis confirmed that the mutational 347 signatures found in normal brains are indeed enriched for brain cancer signatures (Permutation 348 test, p=0.00018). The brain tumor signature enrichment was not observed in any other non-brain 349 tissue tested using a Bonferroni corrected p-value of 0.01, except for pancreas (Permutation test, 350 pancreas p=0.00236, skin p=0.07895, and heart p=0.4), (Fig. 6, Fig. S6B). To validate our 351 analysis, we processed skin sSNVs from the same study (22) and found that those signatures were 352 enriched for skin cancer signatures (p=0.00025) (Fig. 6), consistent with previous findings (2). 353 Normal pancreas sSNVs also showed a good correlation with those found in pancreatic cancer 354 (Permutation test, p=0.0075) (Fig. S6B). The significant overlap of pancreas sSNVs with brain 355 cancer signatures suggest similar mutational processes in these tissues, perhaps reflecting 356 similarities in transcriptional and developmental programs (46). Brain mutational signatures 357 reflect a combination of processes, including replication and transcription-induced mutations and 358 their respective repair mechanisms. Interestingly, the COSMIC signature SBS1 observed in 359 normal brain and in all tumor-types is associated with cell division and proliferation (18), 360 reflecting developmental processes or postnatal glial proliferation. 361

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#### 363 Discussion

In this study, we observed oncogenic variants in the brain of individuals without diagnosed cancer at a rate higher than the brain tumor prevalence (24), indicating that the mere presence of these events in the brain is not equivalent to clinical progression to cancer. This may have diagnostic implications since knowing the occurrence of oncogenic variants in normal tissue may help establish baselines for more accurate diagnosis.

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Evaluation of 1,816 normal brain samples from two orthogonal studies allowed us to 370 independently confirm the existence of oncogenic variants in the normal human brain, in 371 concordance with a previous study (47). Although we do not see the same pattern of affected 372 genes in our panel data (DNA-seq) and the BrainVar and GTEx-based analysis (RNA-seq), such 373 as NF1 and IDH1 recurrences, this difference may relate to limitations of RNA-based mutation 374 calling, such as tissue-specific and expression-bias (WM enriched or GM enriched), coverage, 375 and removal of RNA-editing bases (22). We also describe that sSNVs mutational signatures 376 associated with brain tumors can be observed in normal brains, reflecting transcription and 377 replication-induced mutations. Our data suggest that many signatures previously reported in brain 378 tumors include many passenger mutations present in the normal brain and are not necessarily all 379 tumor-specific or strictly associated with malignancy. Based on our data, we believe that 380 replication-induced mutations are likely a result of pre-natal development or post-natal glial 381 proliferation in concordance with previous etiological factors contributing to brain cancer (1,19). 382

The contribution of signatures we see in normal tissue and brain tumors are different likely due to
tissue sampling differences and because during tumor development particular mutational
mechanisms, such as SBS1, can diverge from those observed in the low-proliferating normal
brain.

- We adapted SuperFreq (39) for cloud computing to evaluate sCNVs in 1,783 normal brain 388 samples, which to our knowledge comprises the largest normal brain cohort examined in this 389 context. We report large chromosomal alterations in line with previous studies in single neurons 390 (48-50) with some overlapping events reported in brain tumors such as 22g and 19g deletions 391 (40). We only focused on large events to improve calling precision, limiting our discovery of 392 smaller events. Gains were more frequent than losses and losses mostly affected Chr 22 and 19, 393 while gains most commonly involved Chr 6 and 1. sCNV events occurred at surprisingly high 394 frequency in our cohorts (7.9% GTEx, 4.8% BrainVar) with a median mosaic fraction of 29.8% 395 (14.9% VAF). Despite the high level of mosaicism of these sCNVs, they were often not shared 396 between multiple brain regions, suggestive of restricted events arising during development or 397 postnatally due to local clonal expansion. Given the low number of sCNV events we found, we 398 cannot draw conclusions about any regional or aging trends. Our estimated rates of frequency in 399 the cohort and mosaic fraction are reasonable compared to those found in a recent study using 400 bulk whole-genome sequencing of postmortem brains (51). However, larger samples sizes and 401 more sensitive techniques will be needed to more definitively determine rates of sCNV. 402 403
- All the clonal oncogenic sSNVs found in the white matter were detected in younger 404 individuals in our targeted panel (<30 years), and we failed to find evidence of an age-related 405 accumulation of oncogenic events. Given the relatively young age of the subjects, and 406 postmortem nature of the data, we do not know whether those same individuals may develop 407 cancer in the future from those mutant clones. In our panel data we found a surprising lack of age-408 related oncogenic variant accumulation in the brain, which differs from findings in other tissues 409 (3-8). It is worth noting that our targeted-sequencing approach evaluated only oncogenic variants, 410 which differs from those studies in blood, skin, and esophagus, among others, that evaluated all 411 mutations in known cancer genes (2.4-9). Our BrainVar and GTEx analysis allowed us to look for 412 all mutations in brain expressed genes, thus resembling more closely previous studies. In this 413 case, we also found a stable number, or even depletion, of all clonal, disruptive and predicted 414 pathogenic variants with age considering all brain samples from all ages. These results confirm 415 our panel data finding and further contrast the mutational dynamics between the brain and other 416 tissues. Two recent reports using GTEx data also included brain samples within a broader study. 417 The first report indicates that some brain regions may have high correlation between mutations 418 and age, even more than sun-exposed skin or blood, while other regions seem to be negatively 419 associated (47). Nonetheless, all the evaluated brain regions do not achieve a high level of 420 statistical support (showing a FDR>0.1) and hence are not inconsistent with a lack of age-related 421 increase. In the second study (45), they report negative values of age-correlation with brain 422 mutations, but these are also insignificant, supporting a lack of age-related increase and a trend 423 consistent with our findings. 424
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The relative stability of oncogenic brain mutations with age, combined with the ability of 426 RNAseq analysis to detect only those clonal mutations with high mosaic fraction, suggests that 427 some oncogenic mutations at a young age may be congenital. The modest reduction of oncogenic 428 mutations with age may then either reflect postnatal elimination of mutant clones from an 429 individual, perhaps by immune surveillance, or postnatal elimination of individuals carrying 430 mutant clones from the healthy cohort. Indeed, we evaluated pre-natal and post-natal brain 431 samples and found that during brain development, the mutation count and the frequency of non-432 diseased individuals with mutations is highest prenatally and then declines with age. Since the 433 brain is an organ with low overall proliferation in postnatal stages, oncogenic clonal expansions 434 over time can directly result in disease. 435

Both of our methods only have sensitivity to detect clones with relatively large mosaic fraction. While our targeted sequencing approach has a similar sensitivity to other methods (12), detecting ultra-low clonal events remains challenging. For example, the sensitivity for events with 0.5% VAF is ~10%; hence our rates may be underestimated. Similarly, the RNAseq approach only detects macroscopic clones with VAF>7% (22). Therefore, we cannot by any means rule out, and in fact it seems plausible, that "micro" somatic variants at lower mosaic fraction may indeed show age-related accumulation at levels below our sensitivity to detect them.

All the pathogenic variants found in the cerebral cortex occurred in the WM. Two 445 scenarios may explain this observation: 1) These are derived from active glial proliferation or 2) 446 sub-cortical WM is closer to the ventricles, and clones arising there can reach the WM more 447 readily than the GM. Also, GM harbors large numbers of neurons and these may further dilute 448 such mutations, which might only be detectable by deeper sequencing. A follow-up study of 449 white matter of varying depths could test the second scenario. Future studies evaluating cancer 450 variants in non-diseased brains should evaluate large cohorts of WM samples and GM to a higher 451 depth. 452

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We detected the *IDH1* R132H variant to be enriched in the non-neuronal population, 454 which is 60% OPCs, and this is the most highly proliferative endogenous cell-type of the brain 455 (52). Brain tumors are thought to originate mainly from progenitor cells in neurogenic niches 456 (53); however, the effect of oncogenic mutations in progenitors, such as OPCs residing in non-457 neurogenic niches such as the cortical WM, remains elusive. OPCs can produce tumors and have 458 been identified in several reports as the most common cell of origin for gliomas (54-57). Thus, the 459 *IDH1* R132H mutation detected in our glial fraction may constitute an early event in a pathogenic 460 progression towards infiltrating glioma. In our case, we did not find more than one mutation per 461 sample, but our limits of sensitivity would likely preclude the identification of emerging sub-462 clones. 463

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While our study represents a comprehensive survey of those sSNVs and sCNVs identifiable at high allele frequency from fetal to the old ages, a universe of events at lower mosaic fraction remains to be explored. Until now the differential mutational burden between WM and GM remained largely unexplored, and this proved to be critical for discovering oncogenic variants in a normal brain. While our findings also provide important information of 470 early processes in the acquisition of oncogenic events in the brain, future studies addressing the
471 accumulation of somatic variants in single glial cells may provide another layer of information to
472 continue dissecting early mechanisms of brain oncogenesis.

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### 505 Materials and Methods

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#### 507 <u>Study design</u>

508 We analyzed a total of 418 samples derived from 110 individuals with no history of neuro-509 oncological or other brain diseases, spanning different ages (0-108 years) (Table S1). We used 510 MIPs (23) to evaluate mutations in 121 genes implicated in brain tumors, other cancers, and focal

- 511 cortical dysplasia (Fig. 1, Table S1). For each of the 110 subjects, we analyzed at least two
- 512 different brain regions and one non-brain sample (Table S1). Brain samples consisted of
- 513 hippocampus (HC), cerebellum (CER) and prefrontal cortex that was subdivided into grey (CXG)

and white matter (CXW) (Fig. S1A). When no clear distinction between CXW and CXG was 514 possible, the sample was labeled as CX. Furthermore, we also evaluated 91 samples derived from 515 17 different organs and the entire left hemisphere from one 17-year-old individual (UMB1465) 516 (Fig. 1, Table S1). We also evaluated the presence of somatic mutations in two large independent 517 cohort of 1,640 and 167 brain samples obtained from the Genotype–Tissue Expression (GTEx) 518 project(20) and BrainVar(21), respectively, using RNA-MuTect (22) (Table S2A-B). GTEx 519 provided samples from 13 different brain regions, and BrainVar provided samples from the 520 DLPFC (mainly from Brodmann area 46) or from the frontal cerebral wall (for donors younger 521 than 10 post-conception weeks). 522 523 Variant Calling 524 Sample FASTQs were first subjected to a local realignment step using CLCbio 525 (QIAGEN). Variant calling was performed using CLCbio- Low Frequency Variant Detection 526 mode that relies on statistical models for evaluating the sequencing error rate based on parameters 527 defined by each batched analysis 528 (http://resources.giagenbioinformatics.com/manuals/clccancerresearchworkbench/200/index.php? 529 manual=Low\_Frequency\_Variant\_Detection.html). An error model is assumed and estimated for 530 each nucleotide quality score. Error model parameters are all estimated from the data set being 531 analyzed, so will adapt to the sequencing technology used and the characteristics of the particular 532 sequencing run. Samples with average coverage below 396x (1 standard deviation from the mean) 533 were not considered for the analysis. Resulting called variants were filtered out if they had a 534 maximum allele frequency on the population greater than 0.1% (Gnomad)(58), occurred in more 535 than 3 individuals from our cohort, the call quality score was less than 200, found in 536 homopolymeric regions greater than 1 in length, were covered by less than two different MIPS, 537 had less than 12 alternate reads covering the variant, a reference read depth less than 200, variant 538 was present in SNP clusters, were not in a targeted region and was not predicted to have 539 functional impact on the protein function (see below, pathogenic classification). Only variants 540 with VAF between 0.5-15% were analyzed. 541 542

543 For germline calling we evaluated variants between 40% and 60% AF, present in less than 544 4 individuals to avoid common variants, a site coverage of at least 200x, call quality score 200, 545 covered with more than one MIP and not present in SNP clusters or found in homopolymeric 546 regions greater than one in length. In addition, since in most cases we have multiple tissues from 547 the same individual, the germline variants were required to be present in at least 2 samples to be 548 considered for our analysis. Only variants with potential impact on protein function were included 549 (see below, pathogenic classification) (Table S1).

550 Pathogenic classification

551 Pathogenic classification of damaging missense variants was performed following a 552 method reported in a previous study (27), categorizing the predicted pathogenicity relied on 6 553 different prediction algorithms (SIFT51, PolyPhen2 HDIV52, PolyPhen2 HVAR,

- 554 MutationTaster53, MutationAssessor54, and LRT55) (59-61), damaging status and conservation
- sites. For example, NSYND3 the highest pathogenic score, was given if a variant was predicted to

- be pathogenic by at least 5/6 of the prediction tools above, considered damaging by CADD,
- 557 DANN, or FATHMM and affected a conserved site (42,62,63).
- 558 GTEx and BrainVar mutation calling and signatures

We implemented RNA-MuTect (22) in Terra's Google Cloud Platform to call somatic 559 mutations in 1.640 bulk RNA-seq brain samples retrieved from the Genotype-Tissue Expression 560 (GTEx) project (release v7) and 167 bulk RNA-seq brain samples retrieved from BrainVar(21). 561 RNA-MuTect was run using both the provided DNA panel-of-normals (PoN) based on ~7000 562 TCGA normal samples and the provided RNA PoN based on a panel of ~6500 GTEx samples. 563 The threshold for the minimum number of reads supporting the alternative allele was set to 4 as 564 recommended by the pipeline authors (22).All A>G and T>C variants were removed from the 565 callset to reduce false positives from RNA editing artifacts using the maftools package (release 566 3.11) in R (64) from all analysis unless otherwise specified. Variants greater than 40% VAF were 567 removed from all analysis unless otherwise specified. Additionally, variants were annotated as 568 oncogenic if the protein change was present in the Cancer Mutation Census (v92)(65). BrainVar 569 sample "HSB498" was removed from all analysis as an outlier due to abnormally high mutation 570 count and presence of several oncogenic mutations. Additionally, BrainVar variants present in 571 more than one sample (based on exact cDNA change) were removed from all analysis. 572

- For age trend analyses, statistical regression was performed by fitting a mixed-effects 574 negative binomial model on all sample mutation counts using the *lme4* package (version 1.1-23) 575 in R. For regression on the GTEx samples, the fixed effects included age (years) while adjusting 576 for standardized RNA integrity score, standardized ischemic time, and standardized total mapped 577 reads. GTEx donor ID and brain sub-region were set as a random effect to adjust for donor-578 specific and region-specific variation. To compare the aging trend for each brain region, the brain 579 region was removed as a random effect and instead the model was fit for each brain region 580 separately. To compare the average mutation count for each brain region, the brain region was 581 changed to a fixed effect where each brain region variable compares its region-specific incidence 582 rate with the overall incidence rate. For regression on the BrainVar samples, the fixed effects 583 included stage (prenatal or postnatal) and sex (male or female) while adjusting for standardized 584 RNA integrity score and standardized total mapped reads. To perform regression on the GTEx 585 and BrainVar samples together, only the GTEx samples from the Frontal Cortex (BA9) were 586 included. 587
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For mutational signature analysis, we used variants from different organs obtained from a 589 recent published study (22). We performed analyses using VAF filtering thresholds of 5-40%, 590 which were relatively consistent. Mutations were filtered to retain only variants with less than 591 15% VAF (to match the variants we targeted with DNA sequencing) and suspected RNA editing 592 bases were removed (A>G, T>C). List of mutations were analyzed using Mutalisk software (66). 593 Enrichment analysis was performed with a permutation test, where we identified the number of 594 mutational signatures present in a particular cancer type according to the COSMIC signature 595 database (artifact signatures were not included), and then obtained random uniform samples from 596 the total of 50 signatures with replacement and counted the number of signatures that were related 597 to the given cancer type. The number of signatures sampled at each simulation was determined by 598

the number of signatures that were estimated to contribute to a particular mutational spectrum byMutalisk.

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#### 602 <u>Somatic copy alteration calling of normal brains</u>

We identified putative sCNVs from non-diseased human brain samples of the GTEx 603 consortium v7(20) using superFreq(39) and BrainVar(21). The algorithm of superFreq employs 604 an error-propagation framework to leverage information from B-allele frequencies and read depth 605 to identify sCNVs from RNA-seq data. Due to the large sample size ( $n \sim 1.700$ ) samples, which 606 were stored in the cloud, we adapted the superFreq workflow to be run in the cloud. The code to 607 deploy the superFreq workflow as well as usage instruction were made publicly available through 608 609 a github repository (https://github.com/emauryg/superFreq cloud). Based on discussions with the developers of superFreq, we used 10 random samples as quality control reference samples for 610 each cohort. These samples are used to remove variation in the data that originate from technical 611 variability. The GTEX and BrainVar cohorts were run separately. 612

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The raw call-set was then filtered to obtain a final call-set that minimized potential false-614 positives. We focused our analyses to the autosomes, and filtered sCNVs that overlapped less 615 than 100 genes. Based on validation studies of the original superFreq manuscript, events that 616 overlapped at least 100 genes had a high precision of 80-90%, and a recall of 60%. We further 617 filtered variants with predicted breakpoints in the MHC (6: 27486711-33448264, GRCh37) and 618 KIR (9: 54574747-55504099) regions. We also filtered out events that had a clonality of >0.80, 619 since these events would be more likely to be germline events in the non-cancer setting. Lastly, 620 we filtered out variants that did not pass visual inspection based on diagnostic plots. 621

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#### 623 <u>Statistics</u>

524 Statistical analyses were performed as described in the main text with un-corrected p-525 values, and the p-value necessary for significance after multiple hypothesis testing was provided 526 for comparison where relevant. The calculations were done with custom scripts in the R 527 computing language (http://www.r-project.org).

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### 629 Data and materials availability

Tables of the data are included in supplementary data. Cloud pipeline for RNA MuTect is available at <u>https://github.com/CodingBash/rna\_mutect\_cloud</u>. Cloud pipeline for superFreq is available at <u>https://github.com/emauryg/superFreq\_cloud</u>. Other materials, including analysis scripts are available through the authors upon reasonable request.

- 634
- 635 Further methodological descriptions can be found in the supplementary information.
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Figure 1. Experimental strategy. General scheme of our methodological pipeline using targeted 841 sequencing for variant discovery and in silico analyses of large-independent cohorts spaning from 842 fetal to older ages (BrainVar and GTEx databases). DNA obtained from 418 samples derived 843 from normal brain and non-brain tissue were analyzed using MIPs, capturing genes associated 844 845 with brain tumors, pan-cancer, and focal cortical dysplasia. Samples were deep-sequenced, and called variants were validated using ion torrent ultra-deep-sequencing. Brain samples from 846 BrainVar (n=166) and GTEx (n=1640) databases were analyzed to discover oncogenic variants 847 and to evaluate copy number variants, mutational signatures and aging correlations. 848

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#### 850 Figure 2. Non-diseased brains harbor low allele frequency cancer reported mutations. (A)

Correlation between MIPS and Ion torrent VAFs of 12 unique variants detected in the normal 851 brain. (B) List of validated brain-specific somatic variants showing general information such as 852 variant allele frequency, pathogenicity prediction, and presence in cancer databases. Additionally, 853 we describe detected germline variants with functional impact for each individual. N/R = Not854 reported, NSYND3=highest score in predicted pathogenicity, UNCERTAIN= Uncertain 855 pathogenicity of variants with clinical significance, CLINSIG. (C) Distribution frequency of 856 genes affected by the detected oncogenic variants found in the brain. (**D**) Distribution frequency 857 of the most affected driver genes found in LGG with pathogenicity relevance (Intogen database), 858 black arrows indicate overlap with our discovered genes. (E) Number of oncogenic mutations 859 found in CXW (circles, two-tailed Fisher exact test, p=0.025) as a function of age (years). (F) 860 Comparison of the number of pathogenic mutations found in CX (n=53), CXG (n=92) and CXW 861 (n=94) and HC (n=69) (Fisher exact test, CXW vs. CXG p=0.028, HC vs. CXG p=0.182, CXW 862 vs. HC p=0.4). 863

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#### Figure 3. Oncogenic mutations are enriched in the white matter and glial cells. (A)

Schematic illustrating the discovery of the *IDH1* R132H mutation in the normal PFC of a 17y/o 866 individual. The mutation was identified in two adjacent WM samples and not present elsewhere, 867 including GM from the same section or GM/WM from the following brain section. White matter 868 is mainly composed of neuronal axons, astrocytes, oligodendrocytes, and OPCs, while GM is a 869 combination of neurons with glial cells. (B) Illustration of the two focal and distant pathogenic 870 mutations found within the same brain. (C) Schematic of nuclear sorting protocol to isolate 871 neuronal (NEUN+) and non-neuronal cells (NEUN-). Nuclei were evaluated using single-cell 872 RNAseq. TSNE plot of 3700 NEUN+ nuclei, showing an exclusive presence of excitatory and 873 inhibitory neurons but not glia (upper panel). Evaluation of 1800 NEUN- nuclei showing the 874 presence of glial cells but not neurons (lower panel). (D) Fold change gene expression of NEUN-875 vs. NEUN+ nuclei subdivided by different brain cell-types. (E) Genotyping of the *IDH1* R132H 876 mutation by ddPCR. Graph shows the ratio of mutant/wild type droplets analyzed in different 877 sorted populations (each data point corresponds to 300 sorted nuclei), showing a nominal 878 enrichment in the NEUN- glial fraction. Genomic DNA without the IDH1 R132H mutation was 879 used as a control for the ddPCR reaction (CTRL). 880

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#### 882 Figure 4. Somatic mutations detectable by RNAseq do not accumulate with age in the

normal brain. Evaluation of somatic mutations using RNA-MuTect in 1,640 GTEx and 166
 BrainVar non-diseased brain samples. Suspected RNA editing bases A>G, and T>C were

removed to reduce false positives as well variants with VAF>40%. Dotplots showing the 885 proportion of samples with at least one mutation across age and forest plots of the aging incidence 886 rate ratio for all mutations (A), predicted pathogenic and non-pathogenic mutations (B), and 887 disruptive (nonsense, splice site) and non-disruptive mutations (3' UTR, 5' UTR, 5' flank, or 888 nonstop) (C). Error bars are the Clopper-Pearson 95% confidence interval of the sample 889 proportion. Forest plots also include standardized RNA integrity score, and standardized total 890 mappable read count, with horizontal lines indicating 95% confidence intervals. Incidence rate 891 ratio was estimated using mixed-effects negative binomial model with donor ID as a random-892 effect. 893

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Figure 5. Copy number variants are found in the normal brain. Visualization of detected 895 somatic copy number variants (sCNVs) in the GTEx and BrainVar databases (A). Color codes 896 indicate different age ranges, brain regions and types of alteration (gain, loss or loss of 897 heterozygosity (LOH)). Upper bar plot summarizes the counts of the different alteration types and 898 the bar plot on the left side also summarizes the alteration types but sorted by chromosome. 899 Labels along the left y-axis refer to chromosome arms and the percentages displayed in the right 900 y-axis represent the frequency of events in each chromosome arm. (B) Distribution of sCNVs 901 events across different ages from prenatal to elder. The number of individuals under each age 902 range is described under the age label. (C) Graphic representation of two representative sCNVs 903 and the genes located in that region, one involving the whole chromosome 19 found at 19% 904 clonality, and the second involving the q-arm of chromosome 22 found at 24% clonality. 905

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#### 907 Figure 6. Mutations found in normal brain exhibit signatures present in brain tumors.

Mutational signature analysis of normal brain and skin (VAF<15%). Number of mutations evaluated is described next to the tissue label and graphs show bases substitution, signatures and spectrum obtained for each tissue. Colored circles next to each signature represent that the signature was observed in cancer (green=brain cancer, purple=skin cancer).

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Figure 1

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Figure 2

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Figure 4

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Incidence rate ratios of predicted pathogenic mutations (GTEx and BrainVar)



Incidence rate ratios of disruptive mutations (GTEx and BrainVar)



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#### Figure 6

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# CANCER DISCOVERY

## Rates and patterns of clonal oncogenic mutations in the normal human brain

Javier Ganz, Eduardo A Maury, Basheer Becerra, et al.

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